

## Business transaction 3

### Corporate action – Indicative dividend payment

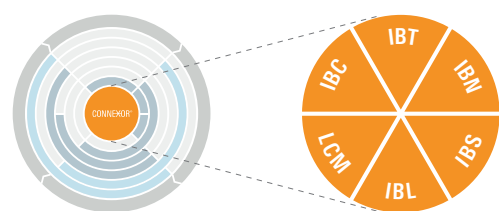
Dividend payments influence the value of securities and by extension the value of the structured products based on those securities. It is therefore in the interest of the issuers and investors that messages regarding indicative dividend payments are accurate and available in near-real time, and that these messages can be easily incorporated into the relevant administrative processes. As central interface, CONNEXOR addresses this requirement and offers significant efficiency advantages for both companies and data consumers.



The company enters and transmits the indicative dividend payment using CONNEXOR® Reporting. Rather than processing and distributing this notification manually as in the past, CONNEXOR® Events automatically distributes the notification to the subscribed recipients in a standardized digital format. In this way, CONNEXOR allows corporations to simplify their administrative processes and benefit from related efficiency gains. Through this process the Main Paying Agent and SIX Exchange Regulation are both informed of the dividend notice. SIX Swiss Exchange generates the Official Notice (ON) on the basis of this notification.

SIX SIS is among the data recipients, who in turn initiate the relevant downstream processes on the basis of the dividend notification. Issuers of and investors in structured products are therefore assured that all data recipients receive the notification from the same single source, without any changes to the content, and eliminating potential inconsistencies caused by manual data reentry.

#### CONNEXOR system

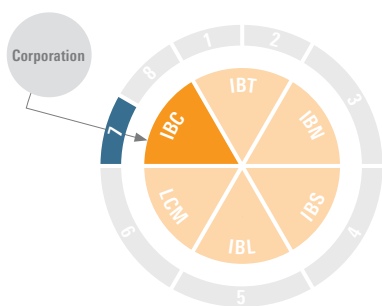


#### CONNEXOR applications and services

- IBT Internet Based Terms: CONNEXOR® Distribution/Terms
- IBN Internet Based Numbering: CONNEXOR® Numbering
- IBS Internet Based Subscription: CONNEXOR® Subscription
- IBL Internet Based Listing: CONNEXOR® Listing
- LCM Life Cycle Monitoring: CONNEXOR® Alerting
- IBC Internet Based Corporate Actions: CONNEXOR® Reporting/Events

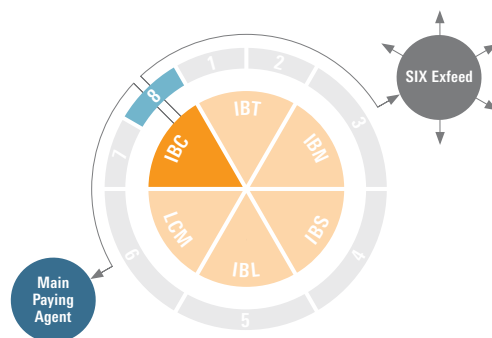
## Corporate action – Indicative dividend payment

### 1. Indicative dividend payment notification



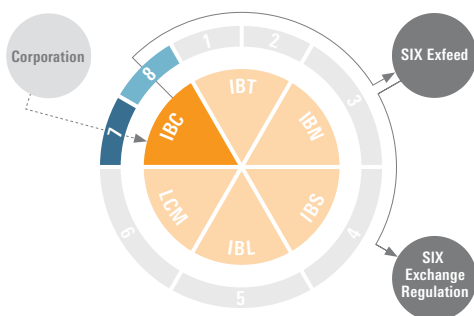
The corporations register indicative dividend payments at least 20 days before the General Meeting via CONNEXOR® Reporting (automated interface or GUI).

### 2. Public announcement



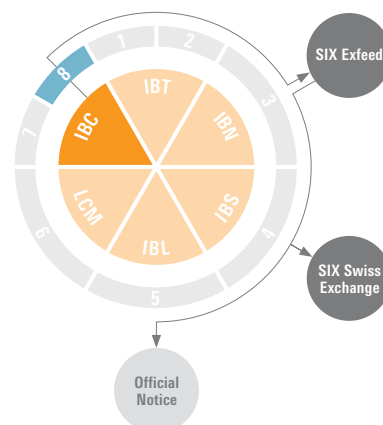
The modification to the structured product terms is sent by SIX Exfeed to the public as an event notification via CONNEXOR® Events in XML or SWIFT format. The data recipients then initiate their downstream processes (e.g. corporate actions). The LCM application receives the event. The Main Paying Agent receives the information by e-mail as well as by notification on the CONNEXOR® Reporting GUI.

### 3. Release or rejection of the event notification



For listed structured products, SIX Exchange Regulation receives the event from SIX Exfeed as an e-mail and as notification on the CONNEXOR® Reporting GUI for acceptance. SIX Exchange Regulation releases this event notification or rejects it with an explanation. In the case of a rejection, the corporation distributes the notification as “replacement” or “cancellation” to the relevant recipients via CONNEXOR® Reporting (automated interface or GUI).

### 4. Creating an Official Notice (ON)



From the notifications received via CONNEXOR® Events and forwarded by SIX Exfeed which show events relevant for maintaining the listing, SIX Swiss Exchange automatically creates an Official Notice (ON).

#### CONNEXOR diagram legend

- 1 CONNEXOR® Distribution
- 2 CONNEXOR® Terms
- 3 CONNEXOR® Numbering
- 4 CONNEXOR® Subscription
- 5 CONNEXOR® Listing

- 6 CONNEXOR® Alerting
- 7 CONNEXOR® Reporting
- 8 CONNEXOR® Events
- IBT Internet Based Terms

- IBN Internet Based Numbering
- IBS Internet Based Subscription
- IBL Internet Based Listing
- LCM Life Cycle Monitoring
- IBC Internet Based Corporate Actions

CONNEXOR  
www.connexor.ch

SIX Group Ltd  
Selnaustrasse 30  
P.O. Box 1758  
CH-8021 Zurich

Team CONNEXOR  
T + 41 58 854 29 61  
F + 41 58 854 24 76  
connexor@six-swiss-exchange.com

None of the information contained herein may be construed as an offer for the purchase or sale of a financial product or presents an offer for the provision of services. SIX Group may not be held liable either for the completeness or correctness of the information contained herein or for damages resulting from actions which were undertaken on the basis of the information contained in this or other SIX Group publications. SIX Group expressly reserves the right to change the prices or the composition of products or services at any time. CONNEXOR is a service offered by SIX Swiss Exchange Ltd, SIX Exfeed Ltd, SIX SIS Ltd and SIX Telekurs Ltd, which are all subsidiaries of SIX Group Ltd.